

1 Sensitivity of China's ozone air quality to 2000-2050 global changes of 2 climate and emissions

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14 **Abstract:** We use a global chemical transport model (GEOS-Chem) driven by the GISS
15 GCM to investigate the effect on China's ozone air quality from 2000-2050 global
16 changes in climate and anthropogenic emissions of ozone precursors. The climate and
17 emission effect in combination will increase afternoon mean surface ozone over China
18 by an annual average of 8.7 ppbv, of which 65% is attributed to the projected increases
19 in global (excluding China) anthropogenic emissions, 37% to Chinese emission
20 increases, and a small negative contribution from climate change (-1.8%) which reduces
21 ozone lifetime. Afternoon mean surface ozone over the Tibet Plateau is projected to
22 increase by 10-15 ppbv in summer, attributed to increasing emissions from neighboring
23 countries in addition to China, suggesting the crucial need for an effective
24 trans-boundary pollution control policy to protect the fragile ecosystems and glaciers
25 over this region. Over Central East China (CEC), a region of large population and
26 intensive agriculture, the 2000-2050 global changes increase annual afternoon mean
27 surface ozone by 9.0 ppbv, of which the Chinese emission change makes the largest
28 contribution (49%), followed by global emission change (43%) and climate change
29 (+7.9%). The change in Chinese anthropogenic emissions of nitrogen oxides (NO_x)
30 alone is responsible for 80% of the overall surface ozone increase resulting from the
31 Chinese emission change of all ozone precursors, indicating that aggressive control of
32 anthropogenic NO_x emissions is the first priority for Chinese policy-makers to mitigate
33 ozone pollution problems in the future. The climate change penalty projected over CEC
34 is attributed to increasing biogenic emissions of volatile organic compounds (VOCs) as
35 well as to changing meteorological factors such as reduced planetary boundary layers.

1 We find that 2000-2050 climate change will decrease the sensitivity of surface ozone to
2 Chinese anthropogenic emissions over West China due to the accelerated ozone
3 destruction rate and reduced transport from CEC, but increase this sensitivity over CEC
4 by 10% as a result of the coupling between anthropogenic NO_x and biogenic VOCs.
5 This implies that the emission controls over China need to be more aggressive in the
6 future, with the first priority being control of anthropogenic NO_x emissions.

7

8 **1. Introduction**

9 Rapid changes of the global climate system predicted for the next 50-100 years
10 [IPCC,2001, 2007] will have important implication for air quality. Direct consequences
11 of climate change for air quality may result from changes in temperature, precipitation,
12 humidity, cloud cover, cyclone frequency, boundary layer mixing, and wet convective
13 ventilation [McCabe et al., 2001; Meleux et al. 2007; Held and Soden, 2000; Forkel and
14 Knoche 2006; Murazaki and Hess,2006;Lambert and Fyfe, 2006; Hogrefe et al., 2004;
15 Rind et al., 2001; Wu et al., 2008a; Johnson,et al.,1999]. Natural emissions of VOCs
16 from vegetation, NO_x from soil and lightning, and dust are all strongly dependent on
17 climatic factors and are therefore sensitive to climate change. The frequency of forest
18 fires is expected to increase in the 2050 climate, resulting in an adverse effect on air
19 quality [Spracklen et al., 2009].

20 Air quality depends on both emissions and weather. A chemical transport model
21 (CTM) driven by future climate archived from a general circulation model (GCM) is
22 typically used to capture the complex coupling between climate change and parallel
23 changes in emissions [Grewe et al., 1999, 2001; Johnson et al., 1999, 2001; Zeng and
24 Pyle, 2003; Grenfell et al., 2003; Allison et al., 2006; Wu et al., 2008a, 2008b; Jacob
25 and Winner, 2009 and references therein]. During the past decades, GCM-CTM studies
26 on regional air quality have been mostly concerned with developed regions, U.S. and
27 Western Europe in particular, focusing on the climate change penalty which tends to
28 offset the benefit of expensive domestic emission reductions [Jacob and Winner, 2009].
29 A number of studies suggested that climate change alone would decrease global mean
30 surface ozone in the future, largely driven by increased humidity [Wu et al., 2008b; Lin

1 et al., 2008; Nolte et al., 2008; Brasseur et al., 2006; Grewe et al., 1999, 2001; Johnson
2 et al., 1999, 2001; Liao et al.,2006; Unger et al., 2006]. Dentener et al.[2006], in a
3 survey of results from 10 global GCM-CTM models, suggested that annual mean
4 surface ozone in the North Hemisphere will decrease 0.8 ppb as a result of 2000-2030
5 climate change. Climate change will likely enhance the stratosphere-troposphere
6 exchange[Zeng and Pyle, 2003; Hauglustaine et al.,2005]. Johnson et al. [1999]
7 indicated that when both climate and emission changes were taken into account, the
8 response of global tropospheric ozone during the period 1990-2075 will be an increase
9 of 6.4 ppb. Wu et al. [2008b] projected that the 2000-2050 emission changes will lead
10 to a 17% increase of tropospheric ozone burden globally, while climate change will
11 contribute to an additional increase of 1.6%.

12 For large developing nations such as China, the challenge for air quality
13 management still relies heavily on controlling rapid increasing domestic emissions.
14 Chinese anthropogenic emissions of NO_x have increased by 70% from 1995 to 2004
15 [Zhang et al., 2007]. The A1B socioeconomic scenario for the 21st century developed
16 by the IPCC [IPCC,2007] projects increasing emissions of ozone precursors in
17 developing countries including China, in contrast to decreasing emissions in OECD
18 countries. Economic development in developing countries is the driving factor for the
19 overall global increase of emissions for 2000-2050. Climate change adds another
20 dimension of complexity in predicting the response of increasing anthropogenic
21 emissions on air quality. However, few studies have examined the consequences of
22 parallel changes in climate and emissions on regional air quality in developing countries,
23 despite the increasing importance of rising emissions from them on global atmospheric
24 environment.

25 The present study uses a global chemical transport model (GEOS-Chem) driven
26 by the GISS GCM to investigate the effect on China's ozone air quality from 2000-2050
27 global changes in climate and anthropogenic emissions of ozone precursors. Global
28 anthropogenic emissions in 2050 are adopted from the IPCC A1B scenario which
29 projects rapid global economic growth along with the introduction of more
30 energy-efficient technologies, reductions in regional differences of per capita income,
31 and balanced energy generation from fossil and alternative fuels. Natural emissions of

1 ozone precursors are modeled as a function of climatic factors. For implications on
2 emission control policies, this study decomposes 2000-2050 global changes into
3 independent changes in three factors: climate, Chinese anthropogenic emissions (CHE)
4 and anthropogenic emissions from the rest of the world (RWE). Through an ensemble
5 of model sensitivity analysis, we evaluate the separate and combined effects on surface
6 ozone from the projected changes in the three factors. The implication of our analysis for
7 air quality policies in China will be discussed.

8 We begin in Section 2 to introduce the GCM-CTM model used in this study and
9 summarize the sensitivity simulations. The climate-only, CHE-only, and RWE-only
10 effect on surface ozone over China is discussed separately in Section 3 and 4. The
11 impact of climate on the CHE-only and RWE-only effect will be discussed in Section 5.
12 Section 6 will present the combined effects of parallel changes in both climate and
13 emissions and compare the relative contributions from the individual factors.
14 Concluding remarks are given in Section 7.

16 **2. Model and Simulations**

17 **2.1. Model description**

18 We use the NASA/GISS GCM 3 [Rind et al., 2007] to simulate the present-day
19 and 2050 climate based on the IPCC A1B emission scenario of greenhouse gases.
20 Meteorological output from the GISS model was archived to drive the GEOS-Chem
21 global chemical transport model [Wu et al., 2008a, 2008b]. The GISS model version
22 used here has a horizontal resolution of 4° (latitude) x 5° (longitude) and 23 sigma
23 levels up to 0.002 hPa. The GEOS-Chem model includes detailed mechanism to
24 simulate the ozone-NO_x-VOC-aerosol chemistry in the troposphere. The model setup is
25 the same as in Wu et al. [2008a] and we do not give detailed description here.

26 The 2000-2050 changes in global anthropogenic emissions of ozone precursors
27 are based on the IPCC A1B emission scenario. We applied the same scaling factor as in
28 *Wu et al.* [2008a] to obtain 2050 emissions on the basis of 2000 emissions. This results
29 in the same 2000-2050 emission trends by world regions as in *Wu et al.* [2008a] but
30 slightly different global total emissions because of some updates in the present-day
31 emission inventories. Biogenic emissions of nonmethane VOCs (NMVOCs) are

1 adopted from the Model of Emissions of Gases and Aerosols from Nature (MEGAN)
2 inventory [Guenther *et al.*, 2006], in which the present-day emissions of isoprene over
3 China is 50% smaller than those from the GEIA inventory used by Wu *et al.* [2008a].
4 Biomass burning emissions are taken from the GFED-2 inventory [van der Werf *et al.*,
5 2006]. Although rising levels of atmospheric CO₂ may affect the natural emissions of
6 isoprene, monoterpenes and other VOCs [Sanderson *et al.*, 2003; Rosenstiel *et al.*,
7 2003], we did not take this process into account. The methane mixing ratios used for the
8 present-day scenario is specified with a global mean of 1750 ppb and 5%
9 inter-hemispheric gradient, which will rise to 2400 ppb by 2050 with no hemispheric
10 gradient in the future scenario.

11 This study focuses on China where rapid economical growth is projected. Table 1
12 summarizes the changes in anthropogenic and biogenic emissions for the world and for
13 China, as projected by the A1B scenario. During the 50 years, anthropogenic NO_x
14 emissions are projected to increase by 79% globally and by 116% in China.
15 Anthropogenic emissions of NMVOCs will increase by 160% globally and by 102% in
16 China. In contrast, for example, a decrease of 40% in fossil fuel NO_x emissions is
17 projected in the United States due to effective emission controls assumed for developed
18 countries. Although anthropogenic emissions of CO will increase by 20% globally, they
19 will decrease by 10% in China in the future. We do not account for the change in
20 stratosphere-troposphere ozone exchange (STE) in the simulation, which is kept
21 constant as a mean STE ozone flux of 500 Tg/yr. The possible coupling effect of
22 climate change on biomass burning is not considered in this study. We applied the same
23 species-specific scaling factor as in Wu *et al.* [2008a] to obtain the biomass burning
24 emissions in 2050 on the basis of 2000 emissions.

25 The model simulations were conducted for eight cases described below in order
26 to decompose the contributions from climate and emission changes. To account for the
27 interannual variability, each simulation was performed for three years, 1999-2001 for
28 the present-day climate and 2049-2051 for the future climate.

29

30 **2.2. Sensitivity simulations**

1 Following the typical GCM-CTM approach, we separate the contributions from
2 climate and emission changes through a sensitivity analysis. To cover the full array of
3 combinations for 2000-2050 changes in climate, Chinese anthropogenic emissions
4 (CHE), and rest of the world anthropogenic emissions (RWE), we set up eight cases
5 summarized schematically in Fig 1. The X-axis denotes the 2000-2050 changes in CHE,
6 Y-axis RWE, and Z-axis climate change. The case pairs on each axis can be used to
7 analyze the effect of the single factor associated with that axis on ozone. The difference
8 between the case pairs along X, Y, and Z axes is referred to as the CHE-only effect,
9 RWE-only effect, and climate-only effect on surface ozone, respectively. For example,
10 the difference between case A and B (B-A) indicates the CHE-only effect on surface
11 ozone under the present-day climate. Case F-E indicates also the CHE-only effect, but
12 under the 2050 climate.

13 As our study focuses on ozone air quality, mean afternoon ozone mixing ratio at
14 the surface is the metric adopted to evaluate the influence of each case on ozone air
15 quality over China. The numbers displayed along the axes in Fig 1 represent the
16 differences in the annual-mean value of this metric between the cases. Along each axis,
17 the first number represents the difference averaged over China and the second (in
18 parenthesis) the difference averaged over Central Eastern China (rectangular region in
19 Fig 2a), a region of large population and intensive agriculture. Central eastern China
20 (CEC) accounts for 68% of China's population, 76% of its GDP, and 62% its
21 anthropogenic NO_x emissions in present days. Unless noted otherwise, all the results
22 reported in this paper refer to mean afternoon mixing ratios at the surface.

23

24 **3. Effect of climate change alone**

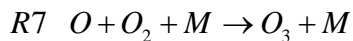
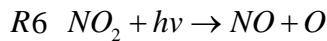
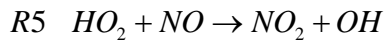
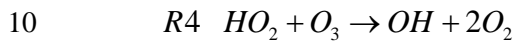
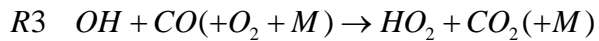
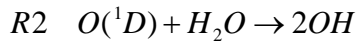
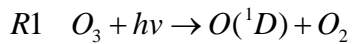
25 The 2000-2050 climate change will result in an increase of 1.8K in annual-mean
26 surface air temperature and 5% increase in annual precipitation in China. Specific
27 humidity in surface air will increase by 12% in China. The 2000-2050 changes in
28 summertime precipitation, PBL height, and convective mass flux simulated by the GISS
29 GCM are presented in Fig 3a-c respectively. There is a maximal decrease of 20% in
30 PBL height and a slight decrease in convective mass flux over CEC. This suggests that
31 the 2000-2050 climate change will reduce ventilation rates in the boundary layer over

1 CEC. We calculate that the 2000-2050 climate change will result in a 42% increase in
2 isoprene emissions in China as a result of higher temperature and solar radiation
3 [Guenther et al., 1995; Wang et al., 1998]. The increase is largest over South China in
4 summer, largely determined by the large temperature increase and high forest coverage
5 over this region. Higher soil temperature and moisture contribute to increasing soil NO_x
6 emissions in the 2050 climate [Yienger and Levy,1995; Wang et al., 1998]. The lightning
7 flash frequency will increase in the 2050 climate due to deeper convection [Price and
8 Rind, 1992; Wang et al., 1998;Li et al., 2005], resulting in a 17% increase in
9 lightning-NO_x emissions globally.

10 The distribution of annual-mean surface ozone simulated for the present-day
11 climate and emissions (Case A) is illustrated in Fig 2a. The Case A simulation provides
12 a benchmark to compare with the results from other cases. The ozone differences
13 between Case E and Case A, representing the climate-only effect on surface ozone
14 under the present-day anthropogenic emissions, are displayed in Fig 2b (annual-mean)
15 and 2c (summer-mean). The annual-mean effect averaged over China is a decrease of
16 0.21 ppbv in surface ozone. The decrease is larger (0.5-1 ppbv) over northwest and
17 northeast China, whereas an average increase of 0.56 ppb is found over CEC. The
18 climate-driven ozone increase over CEC is most pronounced and spatially extensive in
19 summer, reaching to a regional-mean of 1.7 ppbv and a maximum of 5 ppbv in some
20 areas (Fig 2c). The maximal ozone increase over CEC corresponds well with the
21 maximal decrease in PBL height and a slight decrease in convective mass flux shown in
22 Fig 3. This suggests that the reduced ventilation rates in the boundary layer over CEC
23 will contribute to surface ozone increases over this region. In contrast, the ozone
24 decrease over northwest China is associated with increases in convection and
25 precipitation. Previous studies have suggested similar spatial heterogeneity of the
26 climate-only effect on surface ozone, with increasing ozone in polluted continental
27 regions while decreases in relatively clean areas [Brasseur et al., 1998; Grewe et al.2001;
28 Johnson et al., 1999, 2001; Collins et al., 2003; Murazaki and Hess, 2006;Wu et
29 al.,2008a].

30 The following reactions (R1-R7) present the main reactions of O₃ formation and
31 destruction in the troposphere [Murazaki and Hess, 2006]. The increase of water vapor

1 in the 2050 climate accelerates the destruction of ozone through R2, which results in
 2 decreased ozone lifetime and therefore lower surface ozone over remote regions,
 3 particularly over the oceans [Wu et al., 2008b; Lin et al., 2008a; Nolte et al., 2008].
 4 Climate change will also reduce PAN stability due to higher temperature, leading also to
 5 reduced ozone background over continental regions [Johnson et al., 1999; Murazaki and
 6 Hess,2006]. Climate change will also lead to increasing HO₂, which plays an important
 7 role in destructing O₃ in low-NO_x regions through R4 [Lelieveld et al., 2002; 2004].
 8 However, over regions where NO_x is in abundant supply, increases in HO₂ will lead to
 9 enhanced ozone production through R5-R7 [Murazaki and Hess,2006].



11 The decrease of surface ozone over northeast and northwest China can be
 12 attributed to reduced global background ozone in the future climate, as background
 13 ozone is the dominant component of surface ozone over this region where
 14 anthropogenic emissions are small [Wang et al., 2010b]. The decrease represents a
 15 ‘climate change benefit’ as a result of the 2000-2050 climate change. In contrast,
 16 increasing surface ozone over CEC represents a ‘climate change penalty’ [Wu et al.,
 17 2008a], because it implies that additional emission controls will be required to meet a
 18 given ozone air quality target over this populated region in the future climate. Under the
 19 present-day emissions, the climate change penalty over CEC shows an annual average
 20 of 0.56 ppbv and a summer-average of 1.71 ppbv. Given the large population in CEC
 21 (currently 874 million in 2005), this climate change penalty has significant implications
 22 for public health. In this region, the climate change penalty arises from the combination
 23 of enhanced natural emissions of ozone precursors (c.f. Table 1) and changes in
 24 meteorological factors including higher temperature, higher water vapor content, and
 25 reduced PBL as discussed above. To compare the relative importance between biogenic

1 VOCs and meteorology on ozone, we conducted a sensitivity simulation in which
2 biogenic emissions of VOCs over China in the 2050 climate were scaled down to
3 emission levels in 2000. In this case, the annual climate change penalty over CEC is
4 reduced to 0.32 ppbv. This implies that 43% of the climate change penalty could be
5 attributed to increases in biogenic emissions of VOCs, with the balance resulting from
6 changes in meteorology.

7

8 **4. Effect of emission changes alone**

9 **4.1. CHE changes alone**

10 The difference between Case B and Case A suggests that the 2000-2050 change
11 in CHE alone will increase annual mean surface ozone by an average of 3.2 ppbv for all
12 of China under the present-day climate and RWE. The CHE-only effect on surface
13 ozone distribution is illustrated in Fig 4a-c for the annual, summer, and spring
14 conditions respectively. The summer and spring conditions are of particular interest
15 because the ozone increase is expected to be largest in summer while Asian outflow to
16 the Pacific typically peaks in spring [Liu et al., 2002]. The largest increase of
17 annual-mean surface ozone is in the southeast and southwest, reaching 5-10 ppbv. The
18 CHE-driven surface ozone increase is more than 2 ppbv all over China, with a
19 maximum increase of 9.0 ppbv over CEC in summer. Over the southern regions (south
20 of 30°N), the 2000-2050 change in CHE will result in increasing surface ozone in all
21 seasons. In contrast, no significant increase is found north of 35°N in winter and spring
22 because lower temperature and weak solar radiation over this region are the limiting
23 factors for ozone formation instead of precursor emissions.

24 We conducted a sensitivity analysis in which we considered only the 2000-2050
25 changes in anthropogenic NO_x emissions in China. Under the 2000 climate, the
26 projected increase in Chinese NO_x emissions alone is responsible for 86% and 80% of
27 the overall increases in surface ozone over China and CEC, respectively. This indicates
28 that aggressive control of anthropogenic NO_x emissions is the first priority for Chinese
29 policy-makers to mitigate ozone pollution problems in the future, especially in the
30 populated eastern region.

1 We find that the 2000-2050 change in CHE will increase annual mean global
2 surface ozone by 0.4 ppbv. According to the A1B emission scenario, China contributes
3 20% and 10% of the 2000-2050 global increase of anthropogenic emissions of NO_x and
4 VOCs respectively. Although the coarse resolution (4° x 5°) of the model makes it
5 impossible to actually resolve emission changes across the country boundaries,
6 increasing CHE seems to have a large impact on surface ozone over north India. In
7 spring, the season of maximum pollution export from East Asia to the Pacific [Liu et al.,
8 2002], the 2 ppbv-difference isopleth of ozone at the surface extends eastward from
9 China's coasts up to 150°E in central Pacific (Fig 4c), while the 2 ppbv-difference
10 isopleth in the summer is confined to west of 130°E (Fig 4b).

11

12 **4.2. RWE changes alone**

13 The difference between Case C and Case A suggests that the 2000-2050 change
14 in RWE alone will increase annual mean surface ozone by an average of 5.7 ppbv and
15 3.7 ppbv for all of China under the present-day climate and CHE. The distribution of
16 surface ozone change is illustrated in Fig 5a-b for annual and summer conditions
17 respectively. A general feature is that the effect of RWE change alone shows a
18 decreasing gradient from west to east and from south to north, reflecting different
19 emission trends in surrounding countries/regions. In the present day, anthropogenic
20 emissions of ozone precursors from Europe and North America have significant impact
21 on ozone background over Northeast China, particularly in the springtime [Wild et al.,
22 2004; Wang et al., 2010b]. Although emissions from Europe and U.S. are projected to
23 decrease in the future, no significant change on surface ozone is found over Northeast
24 China all year around because of the compensating effect of increasing emissions from
25 developing countries. The simulated ozone increase along China's east coast is largest
26 in summer when the prevailing monsoonal wind is southeasterly, bringing enhanced
27 ozone exported from Southeast Asia where anthropogenic emissions will increase
28 rapidly from 2000 to 2050. The largest surface ozone increase is in southwest China
29 and the Tibet Plateau, reaching an annual mean increase of 6 ppbv and 15 ppbv in
30 summer respectively. This can be largely attributed to increasing anthropogenic
31 emissions from India. The A1B scenario forecasts that Indian anthropogenic emissions

1 of NO_x and VOCs will increase by 800% and 300% respectively from 2000 to 2050.
2 Over the pristine Tibetan Plateau region, the impact of the RWE change on surface
3 ozone is 100% larger than that of the CHE change all year around. The challenge for
4 policy-makers to protect the fragile ecosystems over the Tibetan Plateau and Himalayan
5 Glaciers is to find an effective trans-boundary pollution control policy involving both
6 China and India.

8 **5. Effect of climate change on ozone sensitivity to emissions**

9 The large number of cases constructed in this study make it possible to study the
10 effect of climate change on ozone sensitivity to domestic and foreign emissions. Here
11 we investigate whether a given change in emissions (domestic or foreign) will result in
12 the same magnitude of ozone change in the future climate as compared with the present
13 day. The discussion in this section is different from the climate-only effect or
14 emission-only effect discussed above in that it focuses on the difference in the
15 emission-only effect between the present-day and future climate. For example, the
16 difference between Case A and Case B (B-A) yields the CHE-only effect on surface
17 ozone under the present-day climate. Case F-E also yields the CHE-only effect, but
18 under the 2050 climate. The difference between the two CHE-only effects represents
19 the climate-driven change in the sensitivity of ozone to domestic emissions.

20 **5.1. Sensitivity of CHE-only effect to climate**

21 As summarized in Fig 1, the CHE-only effect on surface ozone over China is an
22 annual increase of +3.2 ppbv under the 2000 climate (Case B-A), increasing by 6% to
23 +3.4 ppbv under the 2050 climate (Case E-F). Over CEC, the CHE-only effect shows a
24 larger response to climate change, increasing by 0.42 ppbv (10%) from +4.0 ppbv in
25 2000 climate to +4.4 ppbv in 2050 climate. The changes suggest that the 2000-2050
26 climate change will increase the sensitivity of surface ozone to a given change in
27 domestic emissions by an annual average of 6% over China and 10% over CEC. This
28 can be alternatively expressed as the sensitivity of the climate-only effects to domestic
29 emissions. That is, Cases [(F-E) – (B-A)] = Cases [(F-B) – (E-A)]. The difference in the
30 CHE-only effect between 2000 and 2050 climate is presented in Fig 6a for the summer
31 condition. In the future climate, there is a significant increase (1-2 ppbv) in the

1 CHE-only effect on ozone over CEC and a decrease of up to 1 ppbv over West China.
2 *Wu et al.* [2008a] suggested that the 2000-2050 change in global anthropogenic
3 emissions would result in a decrease of the climate change penalty in the U.S. compared
4 with the present day. This is because the A1B scenario assumes a 40% reduction in
5 anthropogenic NO_x emissions in the U.S. in contrast to the 116% increase projected
6 over China.

7 *Wu et al.* [2008a] proposed two mechanisms to explain why the sensitivity of
8 ozone to domestic emissions depends on climate. First, the relative contribution of
9 background ozone to surface ozone will change as a result of climate change. Second,
10 the ozone production efficiency from anthropogenic NO_x emissions will depend on
11 biogenic VOCs emissions, which in turn depend on climate [Lin et al., 1988; Sillman et
12 al., 1990; Kang et al., 2003]. According to the two mechanisms, an increase of the same
13 magnitude in CHE will result in a larger increase in surface ozone under the 2050
14 climate when biogenic VOCs emissions are higher and background ozone is lower,
15 compared with the present-day climate. The policy implication is that a slower rate of
16 increase in domestic emissions will be required to meet a given ozone air quality target
17 in the 2050 climate over those regions where the sensitivity of ozone to domestic
18 emissions will increase as a result of climate change.

19 As illustrated in Fig 6a, there is a decrease in ozone sensitivity to CHE over West
20 China as a result of 2000-2050 climate change, which apparently cannot be explained
21 by the above mechanisms. If we suppress the growth of biogenic emissions (all over the
22 world) in the 2050 climate, the corresponding change in ozone sensitivity between the
23 2000 and 2050 climate is shown in Fig 6b. By comparing Fig 6a and 6b, we see that the
24 increase of ozone sensitivity to CHE almost diminishes over CEC, while the decrease of
25 sensitivity over West China still persists. This suggests that the coupling between
26 increasing biogenic VOCs and anthropogenic NO_x emissions as suggested by *Wu et al.*
27 [2008a] is the mechanism responsible for the increased ozone response to domestic
28 emissions over CEC, but it is not the mechanism responsible for the decrease of ozone
29 sensitivity to domestic emissions over West China. The mechanism for West China has
30 to do with the coupling between climate and anthropogenic emissions which are the two
31 factors included in the case of Fig 6b.

1 As the projected 2000-2050 changes of anthropogenic NO_x emissions are largest
2 over east China, a question of interests is to what extent surface ozone over West China
3 becomes less sensitive to anthropogenic emissions locally and those over CEC in the
4 2050 climate. We conducted another sensitivity simulation in which we suppress the
5 growth of anthropogenic emissions over CEC region only. The corresponding change in
6 ozone sensitivity between the 2000 and 2050 climate in this case is shown in Fig 6c.
7 This case illustrates the change in the sensitivity of surface ozone to local emissions
8 over West China in the future climate. We find that in this case, although the decrease
9 of sensitivity still persists over West China (defined as the red rectangle in 6c), it
10 becomes 40% smaller than that in Fig 6a. This suggests that compared with the
11 present-day climate, surface ozone over West China in the 2050 climate is less sensitive
12 not only to anthropogenic emissions locally but also to those over CEC, with the former
13 contributing 60% of the overall change in sensitivity and the latter 40%.

14 Figure 7 displays the changes in surface NO_x mixing ratio as a result of the
15 2000-2050 changes in CHE (in the 2000 climate). The increase of surface NO_x mixing
16 ratio is much smaller over West China than over CEC, making West China still in the
17 low-NO_x region even with the 2050 Chinese emissions. As already shown in Section 3,
18 the increase of water vapor in the 2050 climate will accelerate the destruction rate of
19 ozone through reactions R2-R4 over low-NO_x regions, leading to decreased ozone
20 lifetime and less efficient net ozone production from local emissions. In this situation, a
21 given increase of local emissions over West China will result in a smaller increase of
22 surface ozone in terms of absolute concentrations in the 2050 climate compared with
23 the present-day climate. In addition, higher temperature in the 2050 climate will
24 decrease the stability of PAN, resulting in less efficient export of NO_x from source
25 regions in the east to West China. Both factors imply that there will be reduced
26 sensitivity of surface ozone over West China to the overall emission changes in China
27 in the future climate as shown in Fig 6a.

28

29 **5.2. Sensitivity of RWE-only effect to climate**

30 The RWE-only effect on surface ozone over China shows an annual decrease of
31 0.06 ppbv from the 2000 climate (Case C-A) to 2050 climate (Case G-E) [c.f. Fig 1].

1 Although the annual national-mean change appears small, it is important to understand
2 the direction of the change and the spatial distribution. Figure 8 displays the the
3 difference in the RWE-only effect between the 2000 and 2050 climate in the summer,
4 the season of strongest ozone production. The impact of climate change on the
5 RWE-only effect is negative all over China. This suggests that the 2000-2050 climate
6 change will decrease the sensitivity of surface ozone to a given change in anthropogenic
7 emissions from the rest of the world, although the magnitude of the change is small. The
8 2000-2050 climate change will result in a shorter lifetime of ozone and PAN due to
9 higher temperature and more water vapor in the 2050 climate, as discussed before. The
10 reduction in ozone lifetime will lead to less efficient export of ozone produced outside
11 to China, causing a decrease in anthropogenic background ozone over China.

12

13 **6. Combined effect of global change on surface ozone**

14 Following the typical GCM-CTM approach, the differences between Case A
15 (2000 climate and emissions) and Case H (2050 climate and emissions) in Fig 1
16 represent the response of surface ozone to the combined effect of 2000-2050 changes in
17 climate and anthropogenic emissions of ozone precursors. Figure 9 shows the simulated
18 changes in surface ozone over China in 2050 relative to the present-day case (Fig 2a).
19 As a result of the 2000-2050 changes in both climate and anthropogenic emissions,
20 annual mean surface ozone will increase by 8.7 ppb over China (Fig 9a), compared with
21 the mean global change of 4.6 ppbv (not shown). Over CEC where the present-day ozone
22 levels are already high, the increase in mean summertime surface ozone will reach an
23 average of 15.9 ppbv (Fig 9b). The large increase of surface ozone over CEC will have
24 important implications for public health and ecosystem.

25 As discussed before, the numbers shown along X, Y, and Z axes in Figure 1 are
26 mean differences in surface ozone over China associated with the CHE-only,
27 RWE-only, and climate-only effects respectively. To take into account the influence of
28 one effect on the other, the average of all the CHE-only effects under different climate
29 and RWE (i.e., along the four X-axes in Fig 1) is used to represent the contribution of
30 the 2000-2050 CHE changes alone on overall ozone change. Similarly, the average of
31 all the RWE-only effects along the four Y-axes represents the contribution of the

1 2000-2050 RWE changes and that along the four Z-axes the climate change
2 contribution. Table 2 summarizes the mean CHE-only, RWE-only, climate-only, and
3 the combined effects of all the factors over China and CEC. For China as a whole, the
4 largest impact on surface ozone is from the projected change in RWE in the future,
5 which contributes 64.6% of the total increase of annual-mean surface ozone in 2050.
6 The projected change in CHE contributes 37.4% and climate change exerts a small
7 negative influence of about -1.9%. For the heavily populated CEC region, the
8 contribution from the change in Chinese emissions is the largest, responsible for 59.0%
9 of the total effect. The contribution from RW emissions takes the second place of
10 43.2%. Most importantly, climate change represents a large positive effect (7.9%) over
11 this region, resulting in a significant climate-change penalty. As we suggest above, an
12 aggressive NO_x-emission control strategy is required to cope with this trend.

13

14 **7. Concluding Remarks**

15 We investigated the effects of 2000-2050 global changes on China's ozone air
16 quality using a global chemical transport model (GEOS-Chem CTM) with
17 meteorological inputs provided by a general circulation model (GISS GCM 3). The
18 global anthropogenic emissions of ozone precursors are based on the IPCC A1B
19 scenario. We decomposed future global changes into three factors: climate, Chinese
20 anthropogenic emissions (CHE) and anthropogenic emissions from the rest of the world
21 (RWE). In order to evaluate the effect of individual factors and the interaction between
22 them, we constructed 8 different climate/CHE/RWE cases and for each case model
23 simulations were performed for continuous three years to eliminate the interannual
24 variation. The specific roles of biogenic emissions of VOCs and anthropogenic NO_x
25 emissions were investigated through sensitivity simulations in some future scenarios.

26 In the 2050 climate, the annual mean temperature will increase 1- 2 K over most
27 China, especially in the southeastern parts. A significant increase of humidity is found
28 all over China, with the largest increases taking place in coastal areas. PBL heights
29 decrease in central east China (CEC) but increase slightly in other regions. Under the
30 present-day emissions, climate change will result in an average decrease of 0.21 ppbv in
31 annual mean surface ozone for China, but an increase of 0.56 ppbv is found over CEC.

1 The increase over CEC is spatially extensive in summer, reaching up to almost 5 ppbv
2 in some regions, posing a significant climate change penalty for public health and
3 ecosystem in this populated region with intensive agriculture. Further investigation
4 shows that 43% of the climate change penalty over CEC could be attributed to the
5 increase in biogenic emissions of VOCs. By contrast, in western China climate change
6 will decrease the ozone burden as a result of decreases in background ozone from
7 elevated water vapor.

8 The changes in Chinese emissions alone will result in an increase of 3.2 ppbv in
9 annual mean afternoon ozone over China and an increase of 4.0 ppbv over CEC. A
10 significant increase of 9.0 ppbv is found over CEC in summer, suggesting the need for a
11 more aggressive control strategy of Chinese emissions in order to mitigate ozone
12 pollution in the future. More detailed investigation shows that the projected increase in
13 anthropogenic NO_x emissions alone may explain 86% and 80% of the CHE-driven
14 ozone increase over China and CEC respectively. This indicates that NO_x emission
15 control should be the first priority in the emission control strategies.

16 The changes in RWE alone will result in an increase of 5.7 ppbv and 3.7 ppbv in
17 annual-mean afternoon ozone for China and CEC respectively. The west-east and
18 south-north gradient of surface ozone over China will decrease in the future, reflecting
19 the relatively larger impact from ozone precursors emitted from neighboring countries
20 to the south and west of China. In the A1B emission scenario, anthropogenic emissions
21 of ozone precursors are projected to decrease in North America and Europe, offset by
22 soaring increases of emissions from India and Southeast Asia. As a result, surface
23 ozone levels over southwest China and the Tibet Plateau will be heavily affected and an
24 increase of 10-15 ppbv in the summer is found for surface ozone over this region. It
25 becomes crucial to establish an effective trans-boundary pollution control policy
26 involving China, India and Southeast Asia countries in order to protect the fragile
27 ecosystems and glacier over this region.

28 As a result of the combined effects of 2000-2050 changes in climate and emissions,
29 annual mean surface ozone will increase by an average of 8.7 ppbv over China.
30 Considering all the 8 climate/CHE/RWE cases, we found that the 2000-2050 change in
31 RWE is responsible for 64.6% of the overall ozone change in China with domestic

1 emissions contributing to 37.4% and climate changes -1.9%. The 2000-2050 global
2 changes will result in an annual-mean ozone increase of 9.0 ppbv over CEC where
3 Chinese emissions make the largest contribution (49.0%), followed by RW emissions
4 (43.2%) and climate (7.9%). In contrast to the overall benefit of climate change on
5 surface ozone over China, the climate change over CEC will result in a significant
6 penalty on ozone, with an annual mean enhancement of 0.71 ppbv and summer-mean
7 enhancement of 2.1 ppbv over this populated region. This indicates that climate change
8 will aggravate ozone air quality over CEC in the future and pose further difficulty to
9 mitigate ozone pollution given the increases in anthropogenic emissions in the future.

10 We find that 2000-2050 climate change will increase the sensitivity of surface
11 ozone to domestic anthropogenic emissions by 10% over CEC, but decrease this
12 sensitivity over west China. The former can be explained by the combined effects of
13 increasing anthropogenic NO_x emissions and increasing biogenic emissions of VOCs,
14 while the latter is attributed to the accelerated ozone destruction rate and reduced
15 transport from CEC in the future climate. In contrast, the 2000-2050 climate change
16 will decrease the sensitivity of surface ozone to RWE uniformly over China. This can
17 be explained by a decrease in ozone lifetime in the future climate resulting in less
18 efficient transport of anthropogenic background ozone to China. The increased
19 sensitivity to CHE in the 2050 climate implies that domestic emission controls over
20 China need to be more aggressive in the future.

21
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25 26 27 **Reference**

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5
6

1

Tables

2 Table 1: The 2000-2050 trends in anthropogenic and natural emissions of ozone precursors.

	World			China			
	2000	2050	Change (%)	2000	2050	Change (%)	
	aircraft	0.51	0.51	0.0	0.03	0.03	0.0
	Fossil fuel	24.21	43.25	78.6	3.35	7.24	116.4
	Biomass Burning	5.25	6.36	21.1	0.16	0.13	-17.5
NO _x (Tg N a ⁻¹)	Biofuel	2.21	2.10	-4.6	0.65	0.46	-30.2
	Fertilizer	0.47	0.91	96.5	0.10	0.11	11.2
	lightning	4.92	5.79	17.6	0.35	0.44	25.7
	soil	6.73	7.69	14.3	0.52	0.58	11.9
	Biomass Burning	381.54	610.28	60.0	15.51	19.36	24.8
	Biofuel	175.15	168.33	-3.9	55.01	37.86	-31.2
CO (Tg a ⁻¹)	anthropogenic	330.95	397.74	20.2	100.57	90.27	-10.2
	monoterpenes	48.52	68.97	42.1	2.24	3.09	38.0
	anthropogenic	48.7	127.8	160.2	7.86	15.92	102.4
VOCs (Tg C a ⁻¹)	Biomass Burning	12.52	21.37	70.7	0.61	0.82	35.9
	Methane (ppb)	1750	2400	37.1			
Isoprene (Tg C a ⁻¹)		347.47	492.5	41.7	11.31	15.63	38.2
Other biogenic NMVOCs (Tg C a ⁻¹)		155.34	213	37.1	6.33	8.6	35.8

3

1 Table 2. The effect of the 2000-2050 global changes on annual afternoon-mean surface ozone
 2 over China and Central East China

Factors	China		Central East China (CEC)	
	ppbv	Percentage (%)	ppbv	Percentage (%)
2000-2050 change in China emissions ^a	3.3	37.4	4.4	49.0
2000-2050 change in world emissions (excluding China) ^a emissions	5.6	64.6	3.90	43.2
2000-2050 change in climate	-0.2	-1.9	0.7	7.9
Combined ^c	8.7	100	9.0	100

3 ^a Referred to as CHE in the text;

4 ^b Referred to as the rest of the world emissions (RWE) in the text;

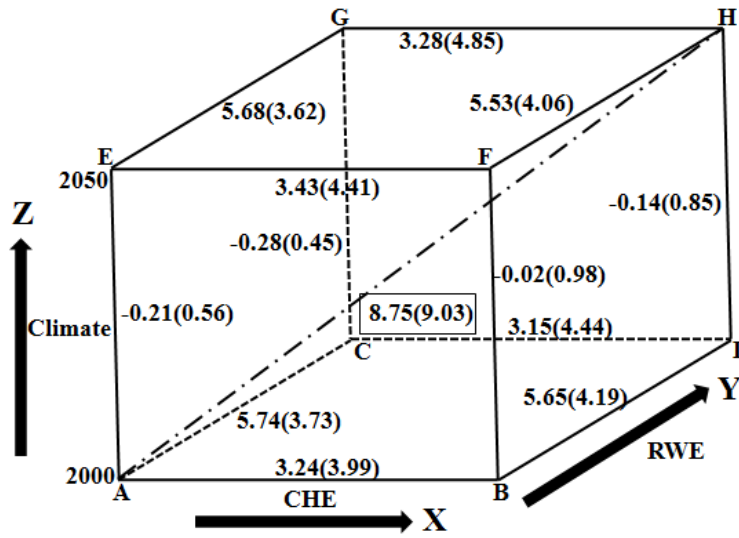
5 ^c Here it means the combination of the 2000-2050 changes in the three factors (CHE, RWE, and
 6 climate)

7

8

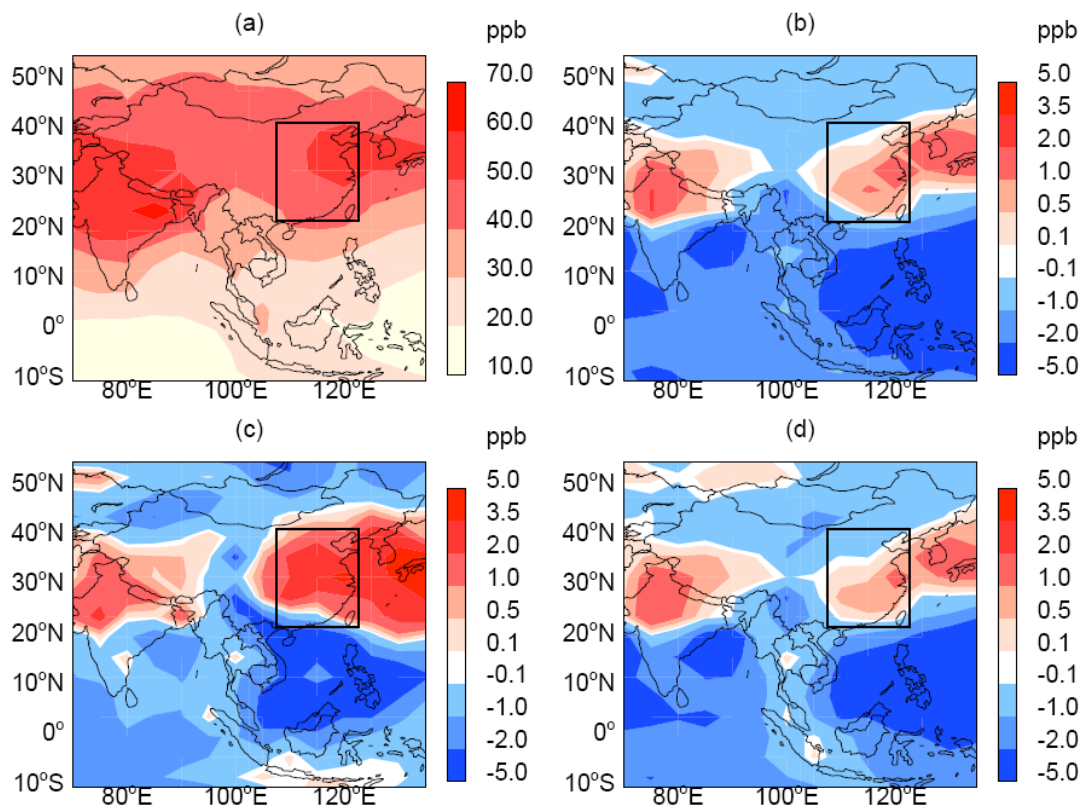
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Figures



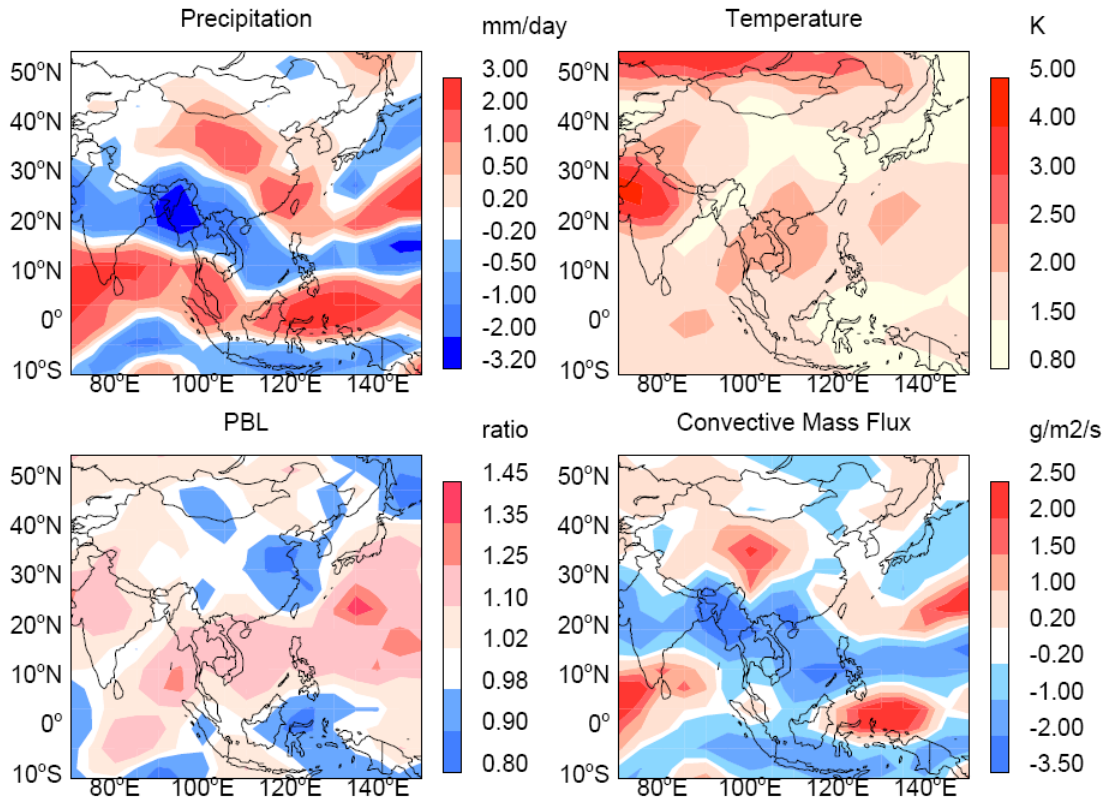
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3 Figure 1. Schematic summary of the eight climate/emission sensitivity cases. The X-axis
 4 denotes the 2000-2050 changes in Chinese anthropogenic emissions (CHE), Y-axis the changes
 5 in anthropogenic emissions from the rest of the world (RWE), and Z-axis climate change. The
 6 numbers (unit: ppbv) displayed along the axes represent the differences between the cases in
 7 annual-mean afternoon ozone at the surface averaged over China and over Central East China
 8 (in parenthesis). The dash-dot line represents the direction of the change from 2000 climate and
 9 emissions to 2050 climate and emissions, and the boxed values are the associated ozone
 10 changes.

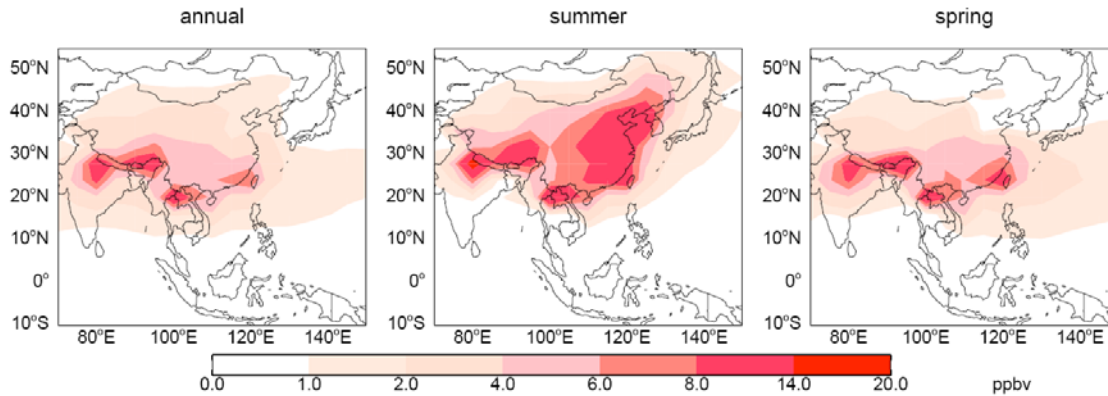


1
 2 Figure 2. (a) The annual-mean distribution of surface ozone over China in the present-day
 3 climate and emissions (case A); (b) The climate-only effect on annual-mean surface ozone
 4 (case E minus case A); (c) same as b, but for the summer-mean; (d) same as b, but without the
 5 increase in biogenic emissions of VOCs in the 2050 climate. The black rectangle in each panel
 6 indicates Central East China (CEC).

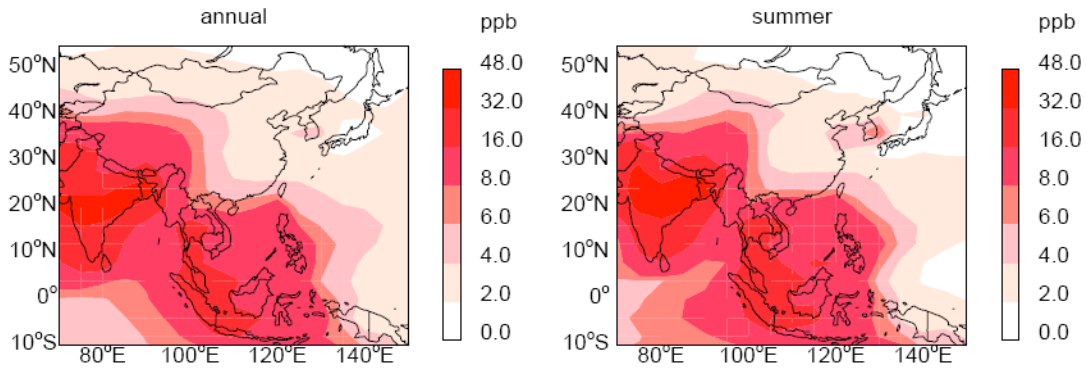
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1
 2 Figure 3. The 2000-2050 change in summertime (a) precipitation, (b) temperature, (c) planetary
 3 boundary layer depth (PBL), and (d) convective mass flux.

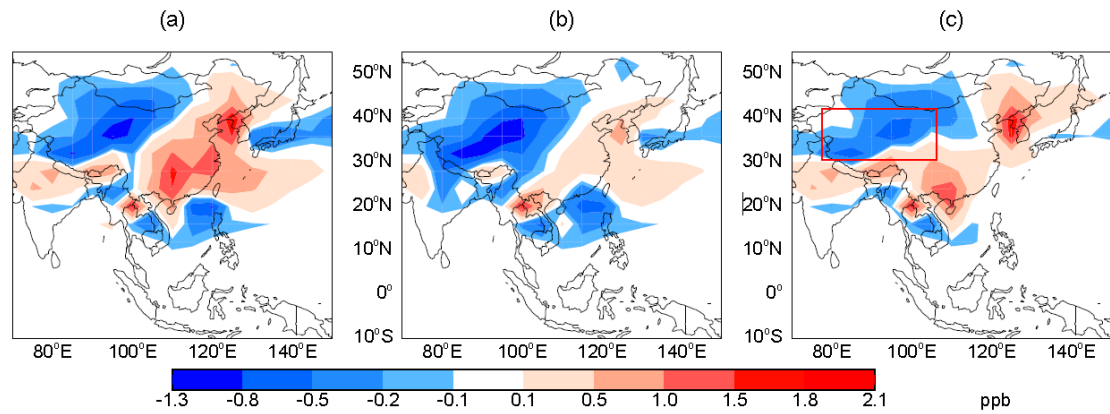


4
 5 Figure 4. The effect of the 2000-2050 changes in Chinese anthropogenic emissions only on
 6 surface ozone: (a) annual-mean, (b) summer; (c) spring.



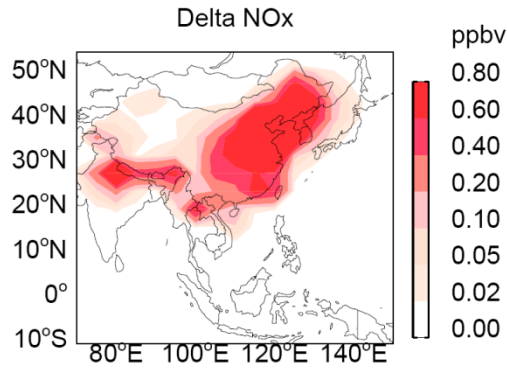
1
 2 Figure 5. The effect of the 2000-2050 changes in anthropogenic emissions from the rest of the
 3 world on surface ozone: (a) annual mean; (b) summer.

4



5
 6 Figure 6. (a) The summer-mean difference in the effect of the 2000-2050 Chinese emission
 7 change on surface ozone between the 2000 and 2050 climate; (b) same as a, but without the
 8 changes in biogenic emissions of VOCs as a function of climate change; (c) same as a, but
 9 without the changes in the anthropogenic emissions over Central East China (CEC). The red
 10 rectangular region in (c) indicates West China.

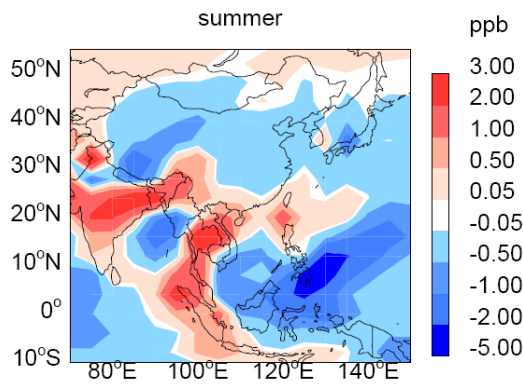
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2 Figure 7. The effect on annual-mean surface NO_x mixing ratios in the 2000 climate brought
 3 merely by the 2000-2050 changes of Chinese anthropogenic emissions.

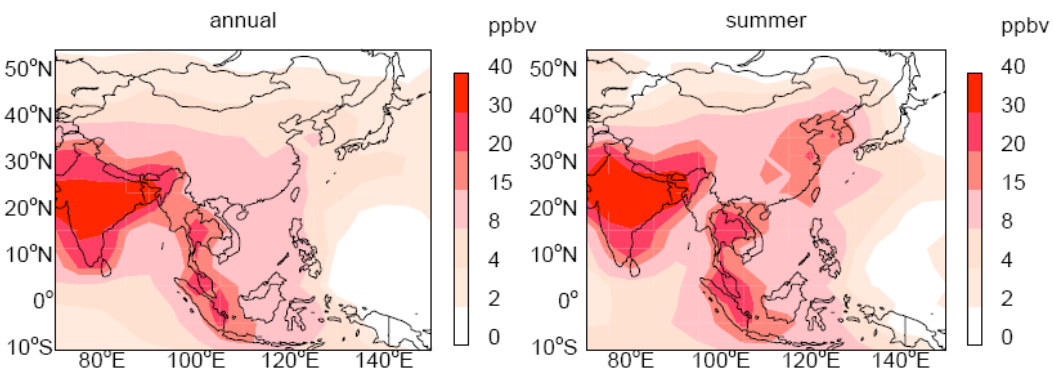
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6 Figure 8. The summer-mean difference in the effect of the 2000-2050 global emission change
 7 (excluding China) on surface ozone between the 2000 and 2050 climate.

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10 Figure 9. The overall change in (a) annual mean and (b) summertime surface ozone as a result
 11 of 2000-2050 global changes in both climate and emissions.